

填報獲許投資資產報表參考指引

Reference Guide for the Completion of Permissible Investment Assets Statement

就各類別獲許投資資產提交檢查的主要文件（正本或由申請人核證為真確的影印本）核對清單

Non-exhaustive check-list of the documents (originals/ photocopies certified true by the applicant) to be inspected for different types of permissible investment assets

申請人絕對實益擁有的獲許投資資產的類別 Type of permissible investment assets to which the applicant is absolutely beneficially entitled	檢查的文件類別 Description of documents inspected
股票、債務證券、後償債項及合資格集體投資計劃 Equities, debt securities, subordinated debt and eligible collective investment schemes	1. 註明申請人投資金額，由金融機構發出的成交單據 / 收據 / 結單 Contract note/receipt/statement issued by the financial institution showing the amount invested by the applicant 2. 金融機構發出的證明信 Reference letter from the financial institution
存款證 Certificates of deposits	1. 銀行發出的存款證 Certificate of deposit from the bank 2. 銀行發出的證明信 Reference letter from the bank
有限合夥基金的擁有權權益 Ownership interest in limited partnership funds	1. 合夥協議 Partnership agreement 2. 審計師報告 Auditor's report 3. 可證明申請人投資金額的文件 Documentary evidence in certifying the amount invested by the applicant
非住宅房地產 Non-residential real estate	1. 註明房地產擁有權及買入價的土地註冊處記錄 Land Registry record showing the ownership and purchase price of the real estate 2. 按揭契據（如有） Mortgage deed (if any) 3. 商業登記冊內資料的摘錄（如房地產由申請人名下的獨資企業擁有） Extract of information on the Business Register (if the real estate is held through a sole proprietorship under the applicant's name) 4. 已遞交至公司註冊處的周年申報表 / 官方機構發出有關申請人的公司擁有權證明（如房地產由申請人擔任唯一股東的公司擁有） Annual Return filed to the Companies Registry/The applicant's ownership proof from the official authority (if the real estate is held through a company of which the applicant is the sole shareholder)
資本投資者入境計劃投資組合 CIES Investment Portfolio	1. 可證明申請人投資款額的文件（如申請人向資本投資者入境計劃投資組合投入港幣三百萬元） Documentary evidence in certifying the amount invested by the applicant (if the applicant has placed HK\$3 million into the CIES Investment Portfolio) 2. 銀行帳戶月結單 / 銀行存摺（如申請人已將港幣三百萬元現金存於他所委聘的金融中介機構開立的指定帳戶，以便日後投入資本投資者入境計劃投資組合） Monthly statement of bank account/Bank passbook (if the applicant has deposited cash of HK\$3 million to the designated account opened with his appointed financial intermediary for subsequent placing into the CIES Investment Portfolio)