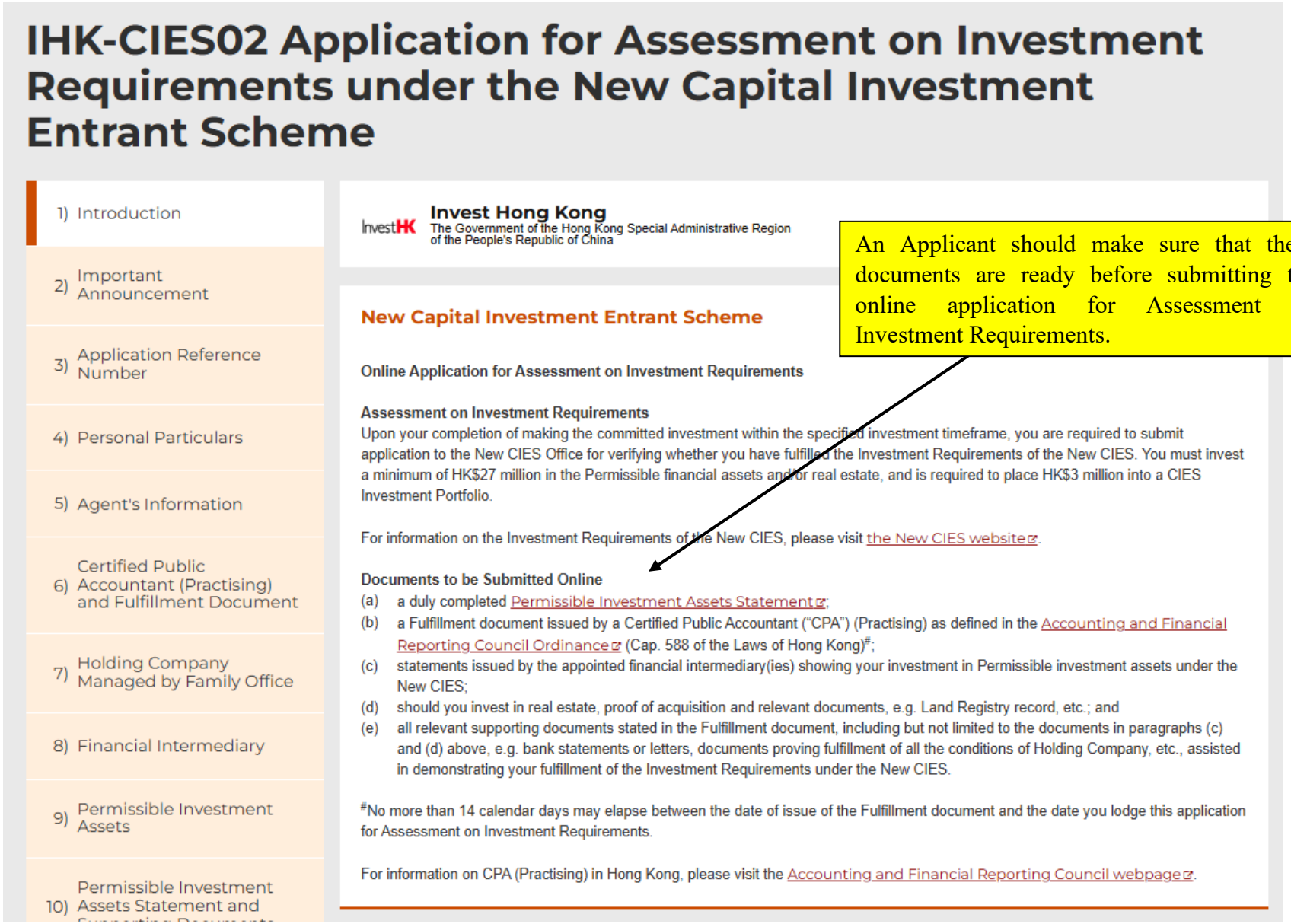
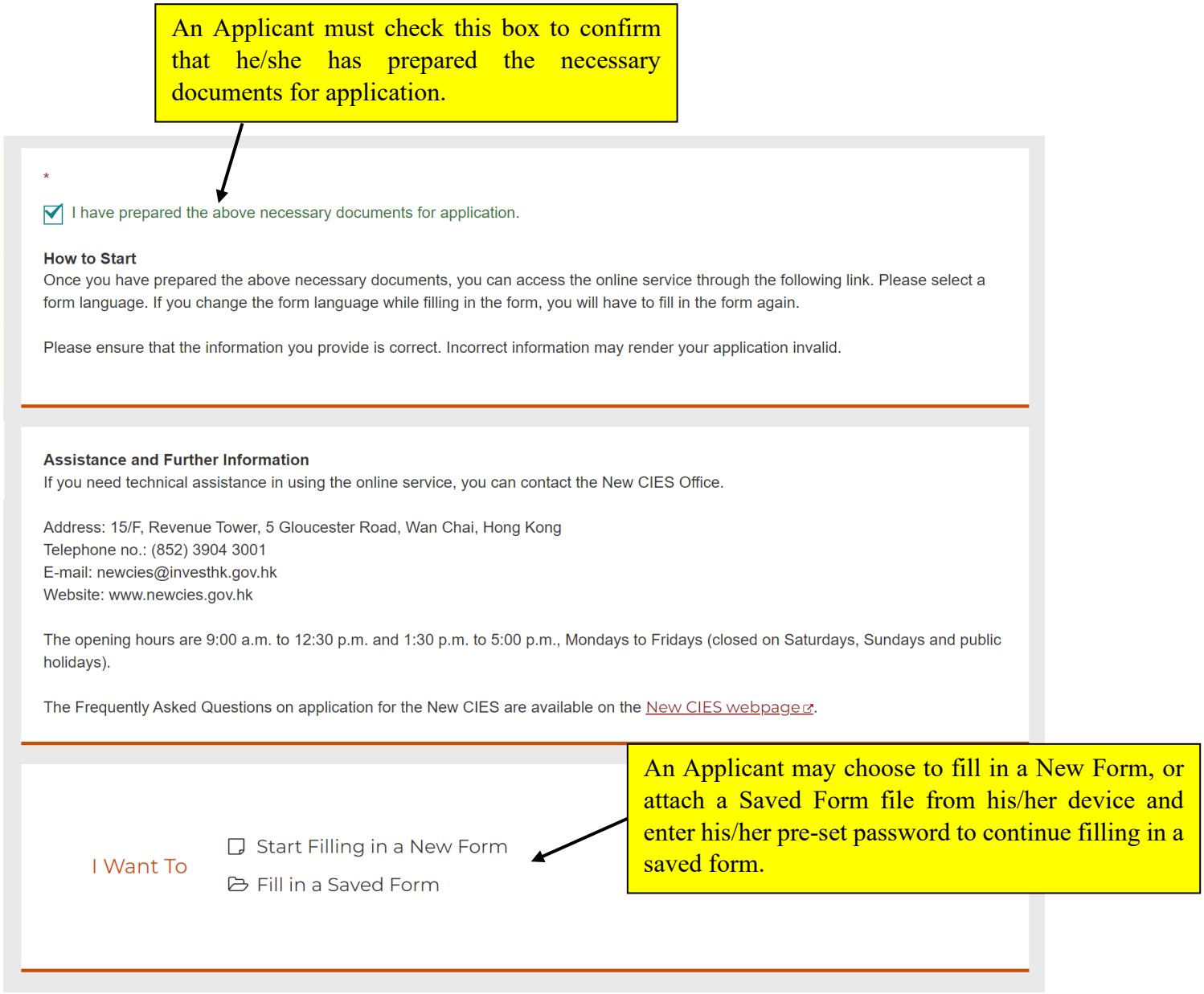
Guidance notes for Submission of Online Application Form for Assessment on Investment Requirements under the New Capital Investment Entrant Scheme

1) Introduction

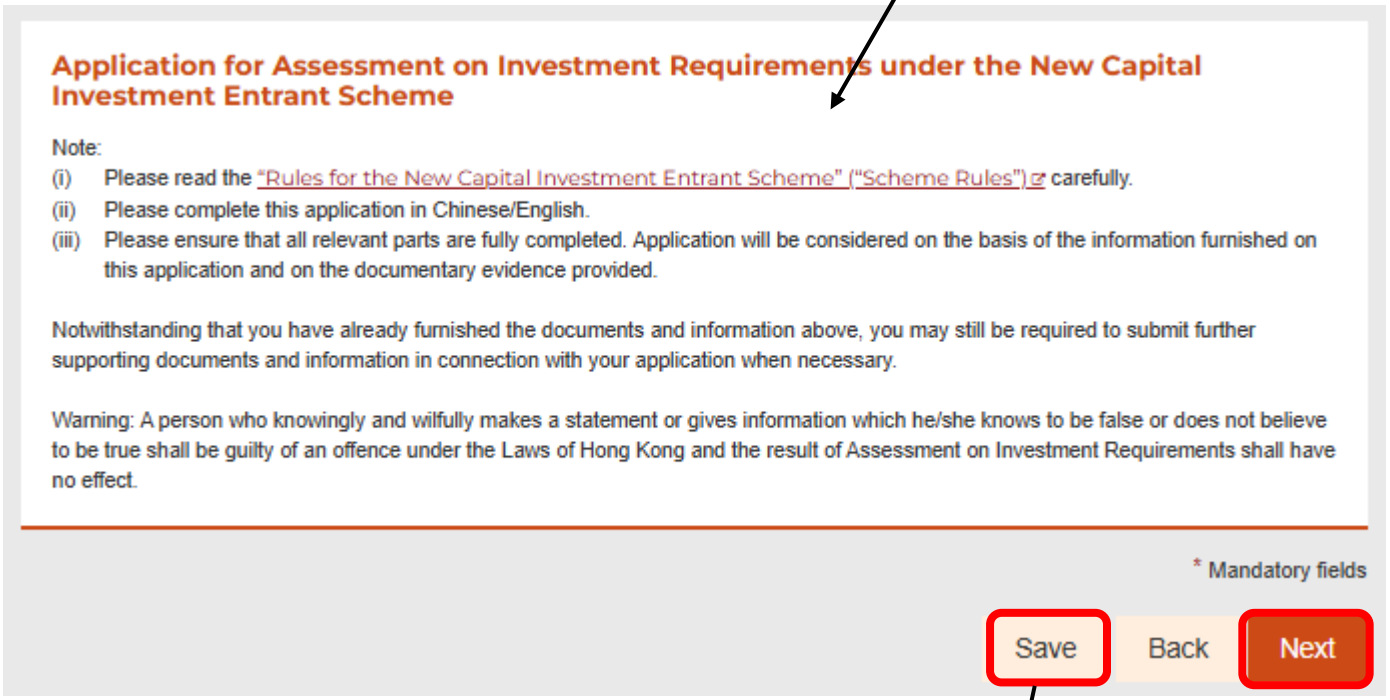


1) Introduction (Continued)



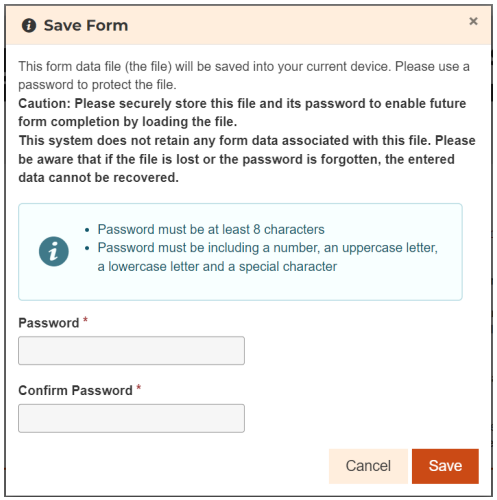
2) Important Announcement

An Applicant should read the Scheme Rules and the important notes carefully.

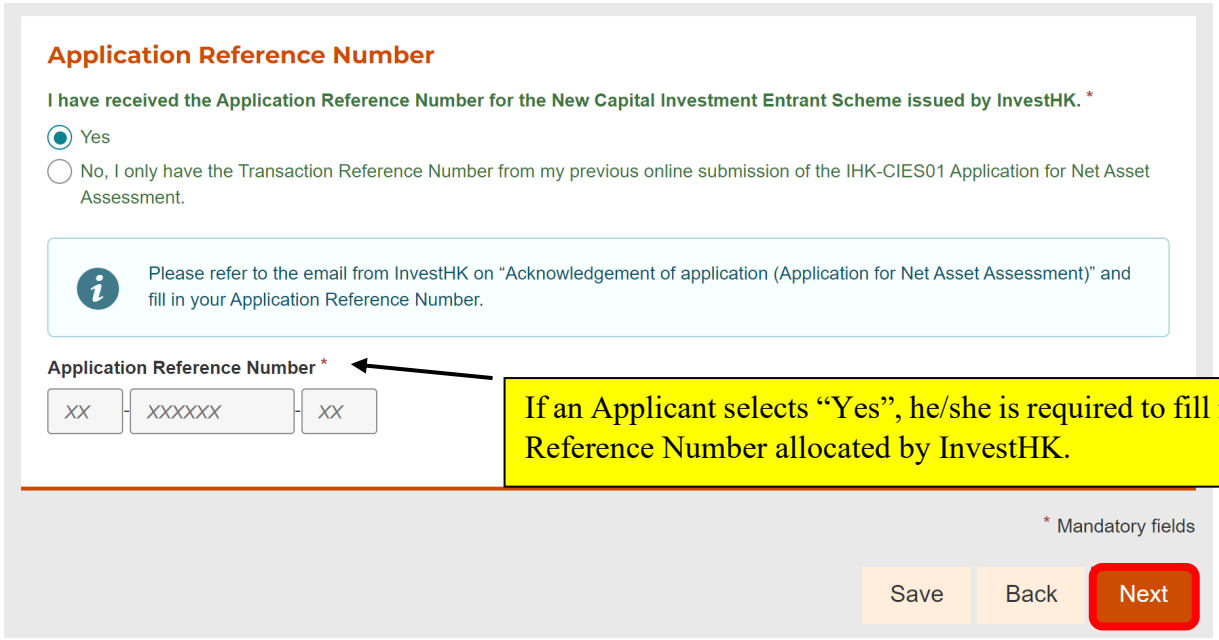


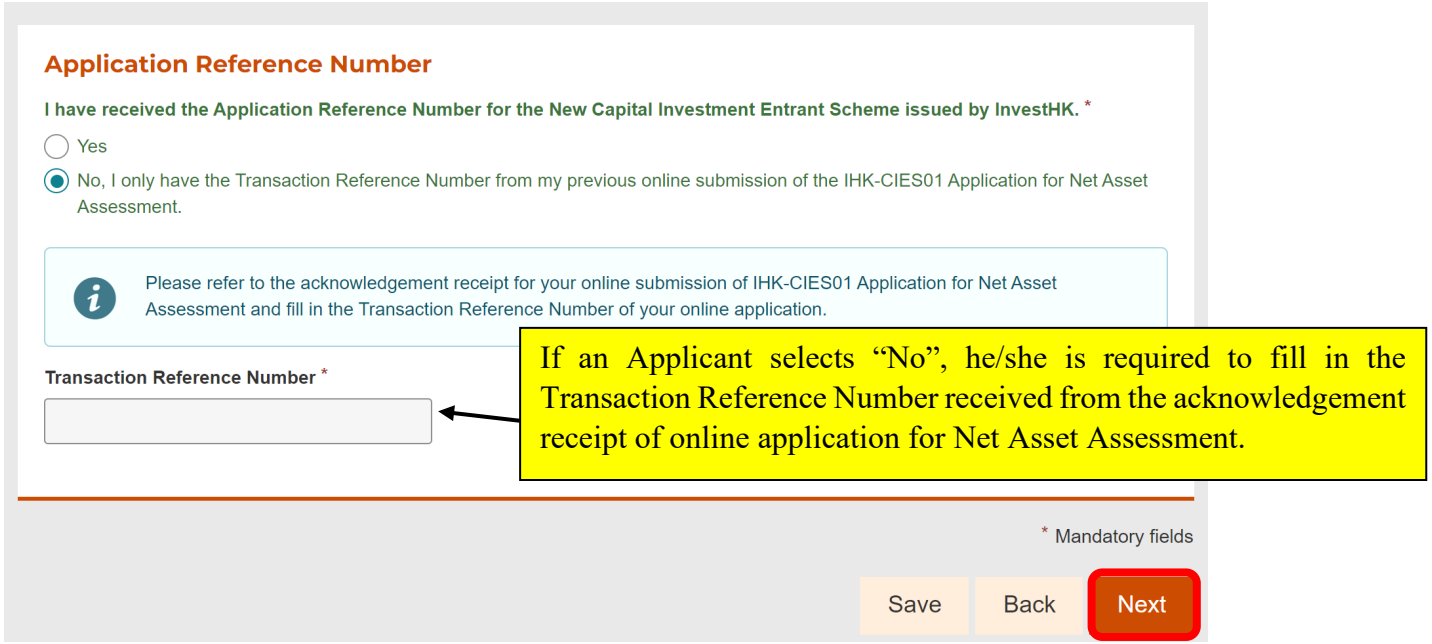
If an Applicant wishes to complete his/her application later, he/she can click the "Save" button on any relevant page of the application form. When the "Save Form" window pops up, the Applicant can set his/her own password and click "Save" again. The password-protected Saved Form file will be downloaded to his/her current device.

The Applicant can later attach his/her Saved Form file and enter his/her pre-set password again in the first page of the online application form to continue filling in his/her saved form.

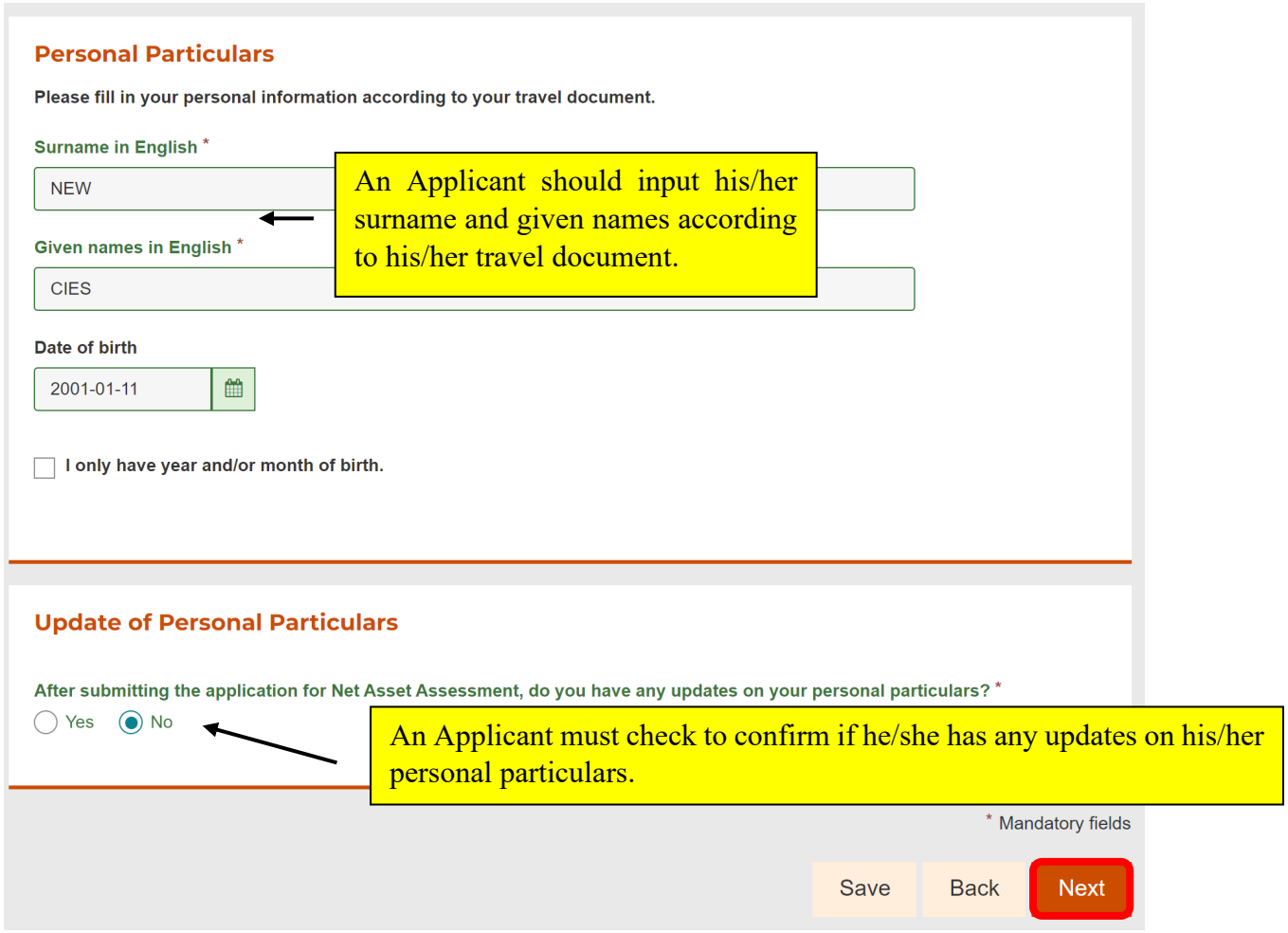


3) Application Reference Number

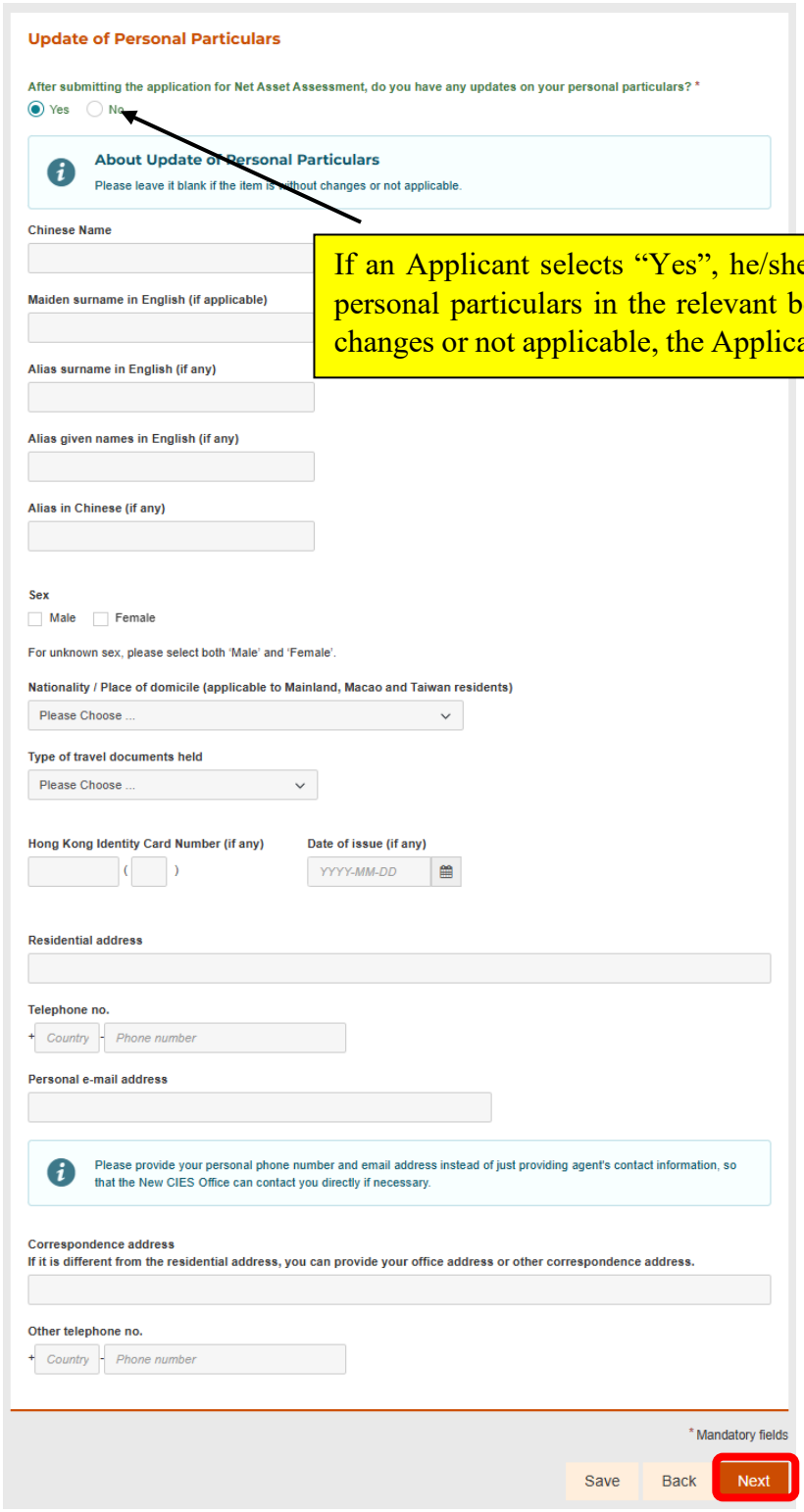




4) Personal Particulars

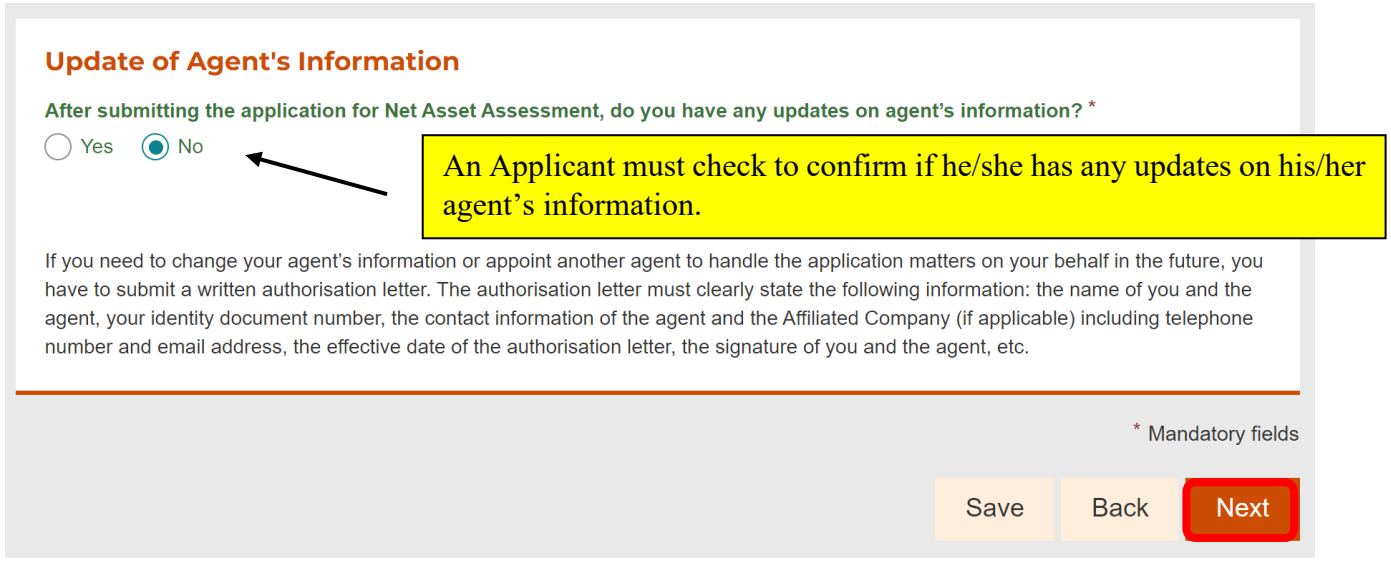


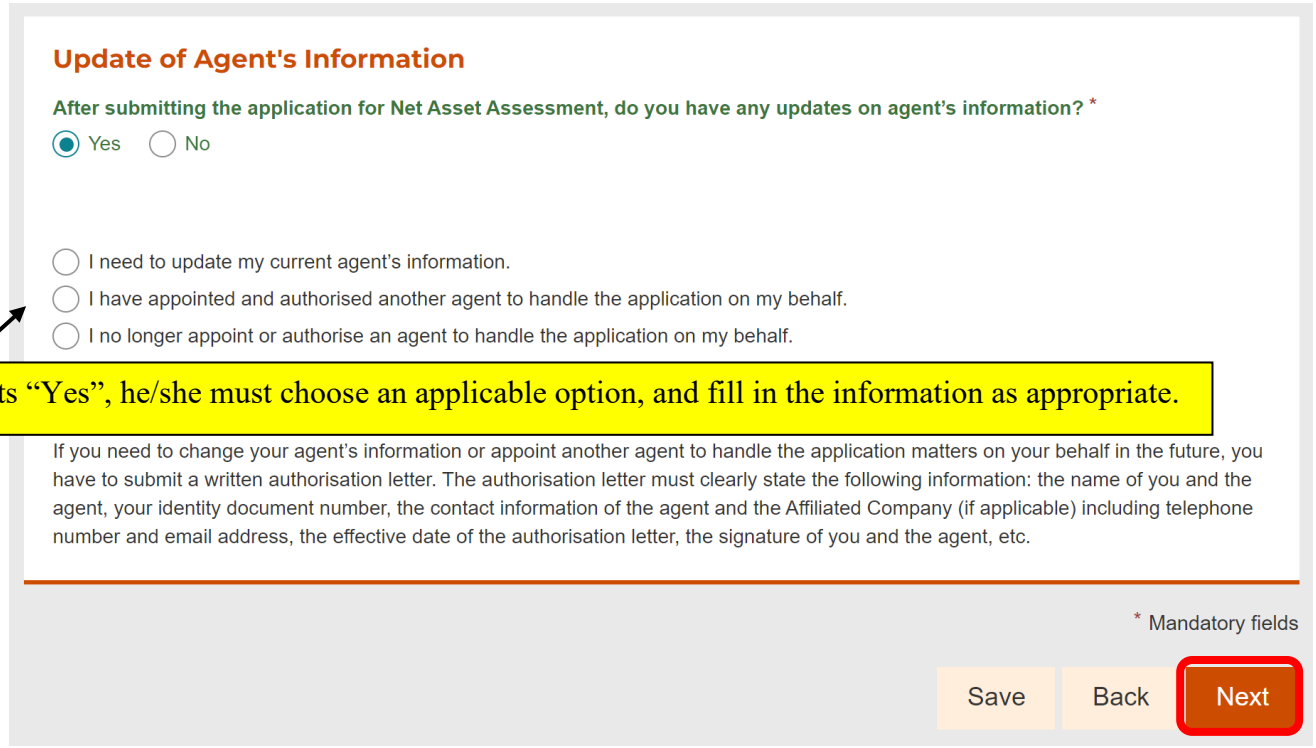
4) Personal Particulars (Continued)



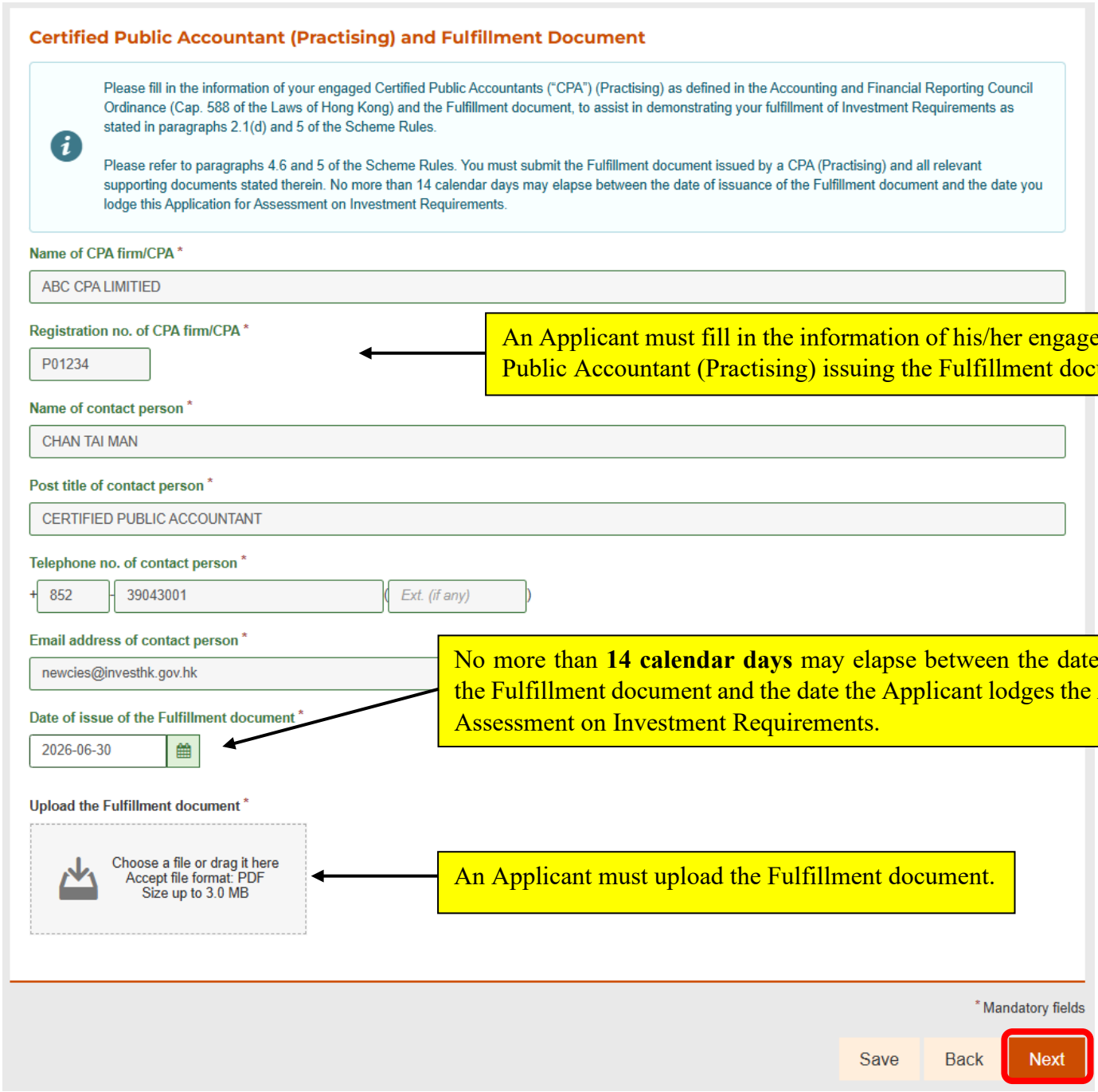
If an Applicant selects "Yes", he/she is required to update his/her personal particulars in the relevant box(es). If the item is without changes or not applicable, the Applicant should leave the box blank.

5) Agent's Information

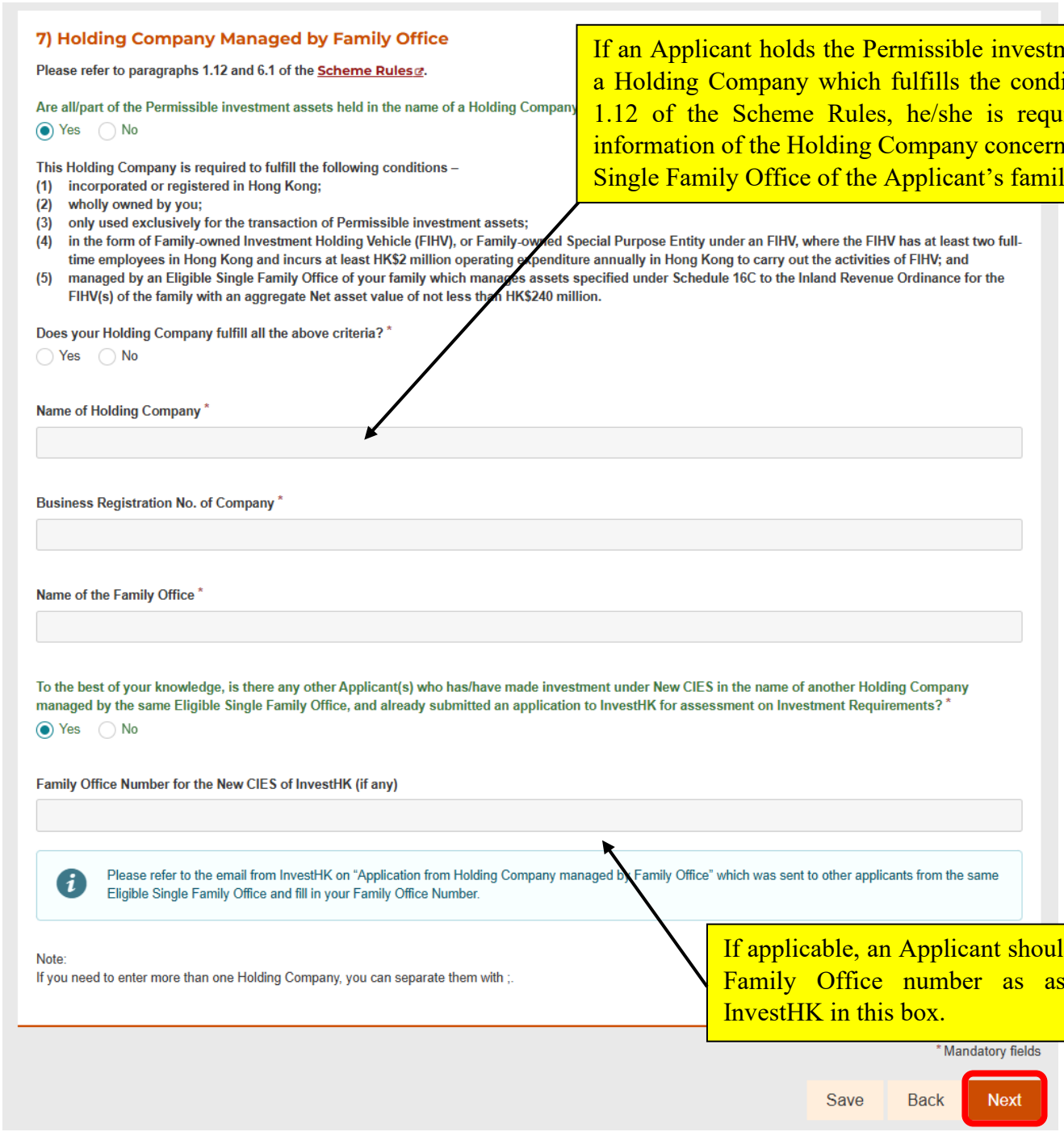




6) Certified Public Accountant (Practising) and Fulfillment Document



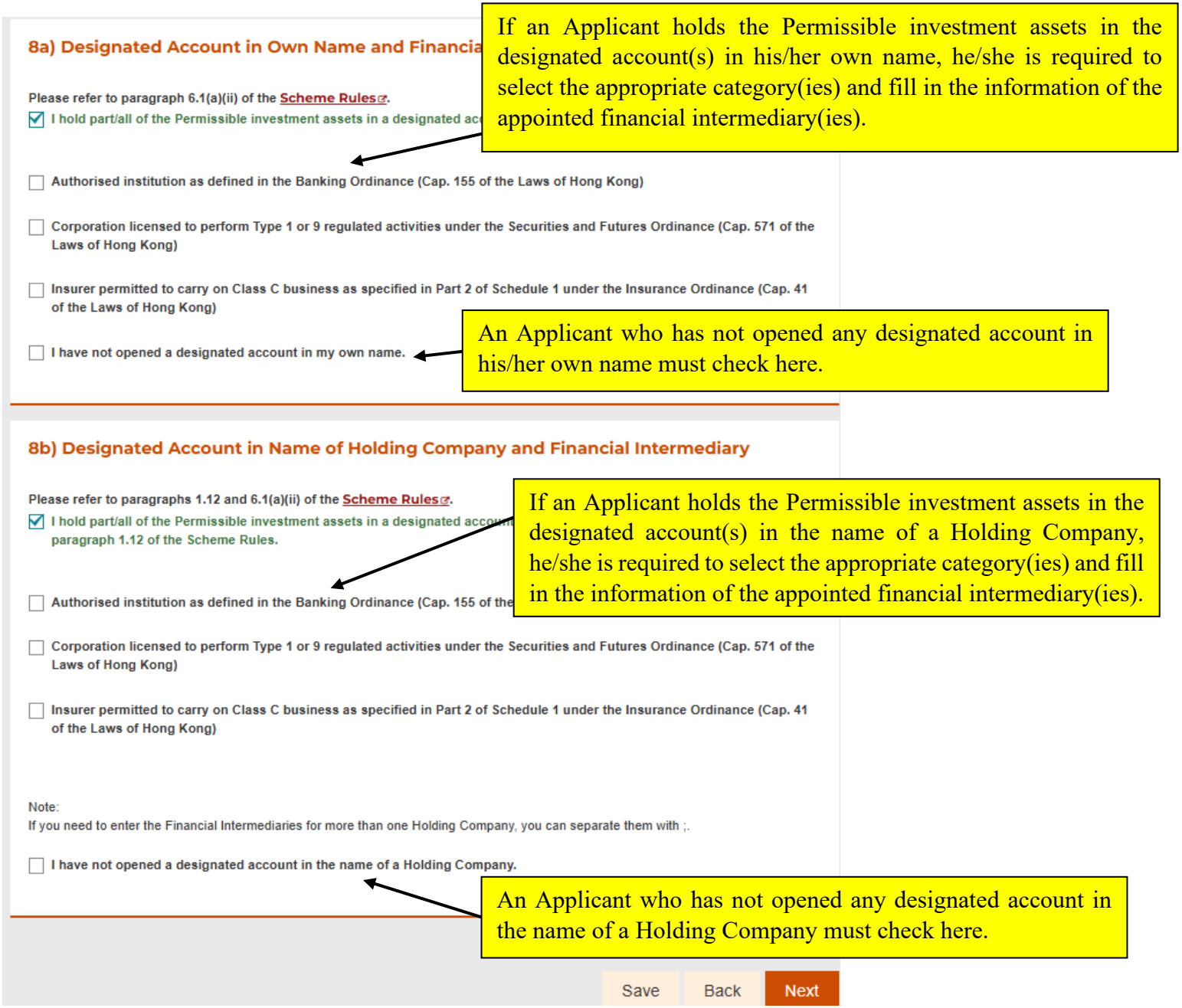
7) Holding Company Managed by Family Office



If an Applicant holds the Permissible investment assets through a Holding Company which fulfills the conditions in paragraph 1.12 of the Scheme Rules, he/she is required to fill in the information of the Holding Company concerned and the Eligible Single Family Office of the Applicant's family.

If applicable, an Applicant should fill in the Family Office number as assigned by InvestHK in this box.

8) Financial Intermediary



If an Applicant holds the Permissible investment assets in the designated account(s) in his/her own name, he/she is required to select the appropriate category(ies) and fill in the information of the appointed financial intermediary(ies).

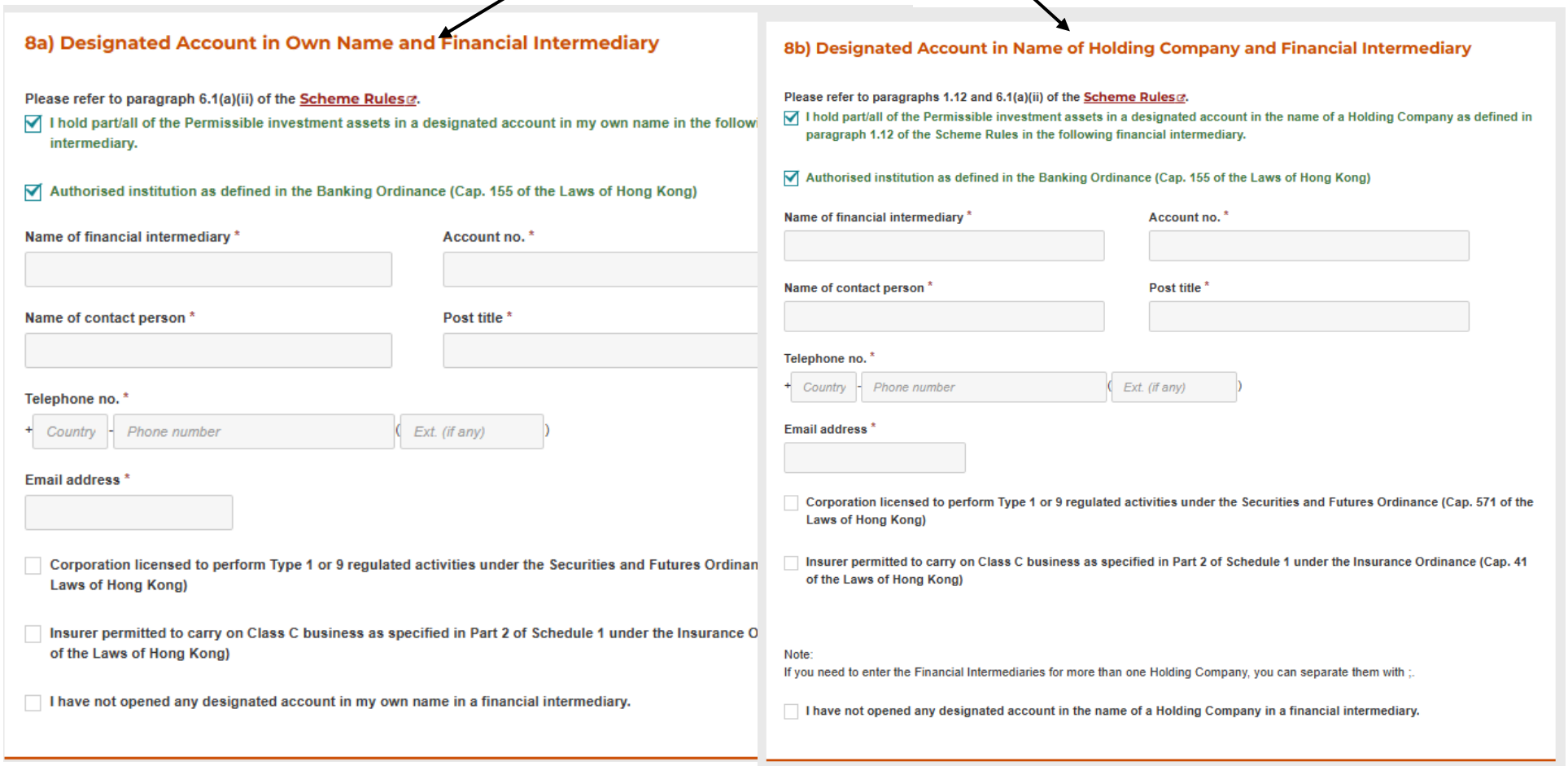
An Applicant who has not opened any designated account in his/her own name must check here.

If an Applicant holds the Permissible investment assets in the designated account(s) in the name of a Holding Company, he/she is required to select the appropriate category(ies) and fill in the information of the appointed financial intermediary(ies).

An Applicant who has not opened any designated account in the name of a Holding Company must check here.

8) Financial Intermediary (Continued)

After selecting the appropriate category(ies), an Applicant is required to fill in the information of the appointed financial intermediary(ies) in the boxes provided.



8a) Designated Account in Own Name and Financial Intermediary

Please refer to paragraph 6.1(a)(ii) of the Scheme Rules.

☑ I hold part/all of the Permissible investment assets in a designated account in my own name in the follow intermediary.

☑ Authorised institution as defined in the Banking Ordinance (Cap. 155 of the Laws of Hong Kong)

Name of financial intermediary *

Account no. *

Name of contact person *

Post title *

Telephone no. *

\+ Country - Phone number (Ext. (if any))

Email address *

☐ Corporation licensed to perform Type 1 or 9 regulated activities under the Securities and Futures Ordinan Laws of Hong Kong)

☐ Insurer permitted to carry on Class C business as specified in Part 2 of Schedule 1 under the Insurance O of the Laws of Hong Kong)

☐ I have not opened any designated account in my own name in a financial intermediary.

8b) Designated Account in Name of Holding Company and Financial Intermediary

Please refer to paragraphs 1.12 and 6.1(a)(ii) of the Scheme Rules.

☑ I hold part/all of the Permissible investment assets in a designated account in the name of a Holding Company as defined in paragraph 1.12 of the Scheme Rules in the following financial intermediary.

☑ Authorised institution as defined in the Banking Ordinance (Cap. 155 of the Laws of Hong Kong)

Name of financial intermediary *

Account no. *

Name of contact person *

Post title *

Telephone no. *

\+ Country - Phone number (Ext. (if any))

Email address *

☐ Corporation licensed to perform Type 1 or 9 regulated activities under the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong)

☐ Insurer permitted to carry on Class C business as specified in Part 2 of Schedule 1 under the Insurance Ordinance (Cap. 41 of the Laws of Hong Kong)

Note:
If you need to enter the Financial Intermediaries for more than one Holding Company, you can separate them with ;.

☐ I have not opened any designated account in the name of a Holding Company in a financial intermediary.

9) Permissible Investment Assets

Permissible Investment Assets

You, whether or not in combination with investment(s) in real estate, have to hold the investment in the Permissible financial assets in designated account(s) in your own name and/or in the name of your Holding Company to be operated by eligible financial intermediary(ies).

Please fill in the sub-total of Hong Kong Dollar (HK$) equivalent of the following Permissible Investment Assets that you have invested in Hong Kong in accordance with your Permissible Investment Assets Statement:

(1) Investment in Permissible Financial Assets

Please refer to paragraph 5.1(a)-(f) of the Scheme Rules.

HK$ equivalent of sub-total amount invested

(a) Equities: 27000000

(b) Debt securities: *HK$ equivalent*

(c) Certificates of deposits: *HK$ equivalent*

(d) Subordinated debt: *HK$ equivalent*

(e) Eligible Collective Investment Schemes

☐ Please click if applicable

(f) Ownership interest in limited partnership funds ("LPFs") registered under the Limited Partnership Fund Ordinance (Cap. 637 of the Laws of Hong Kong)

☐ Please click if applicable

SUB-TOTAL OF PERMISSIBLE FINANCIAL ASSETS: 27000000

An Applicant must fill in the value of his/her investment in the Permissible financial assets in Hong Kong Dollar (HK$) or HK$ equivalent.

(2) Investment in Real Estate

Please refer to paragraph 5.2 of the Scheme Rules.
The real estate should be held in your own name or through a sole proprietorship under your name, or through a company of which you being the sole shareholder (including Holding Company as defined in paragraph 1.12 of the Scheme Rules). Non-residential real estate and residential real estate mean "non-residential property" and "residential property" as defined in section 29A of the Stamp Duty Ordinance (Cap. 117 of the Laws of Hong Kong) respectively.

HK$ equivalent of sub-total amount invested (Net value excluding mortgage amounts (if applicable))

Non-residential Real Estate: *HK$ equivalent*

Residential Real Estate: *HK$ equivalent*

SUB-TOTAL OF REAL ESTATE: 0

An Applicant is required to fill in the value of his/her investment in the real estate (if applicable) in HK$ or HK$ equivalent.

(3) CIES Investment Portfolio

Please refer to paragraphs 5.3 and 5.4 of the Scheme Rules.

☐ I have deposited cash of HK$3 million to the following designated account opened with my appointed financial intermediary for subsequent placing into the CIES Investment Portfolio. *

Name of financial intermediary *: ABC BANK

Account no. *: ABCD1234

Date of deposit *: 2025-03-01

An Applicant is required to fill in the information of the deposit to be placed into the CIES Investment Portfolio.

Total of Permissible investment assets ((1) + (2) + (3))

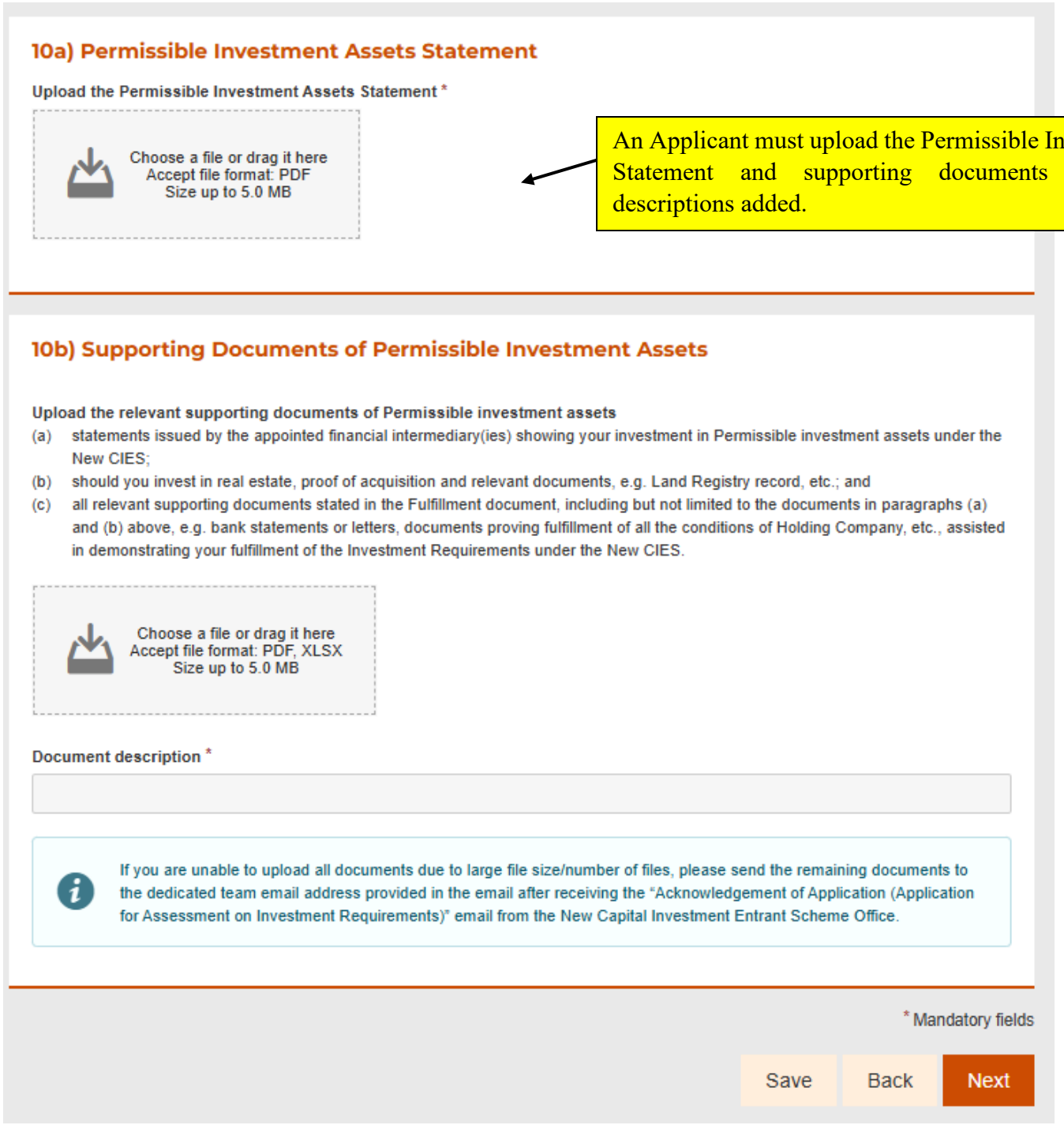
Notice
You must make investment of a minimum of HK$30 million Net (or equivalent in foreign currencies) in the Permissible investment assets.

27000000

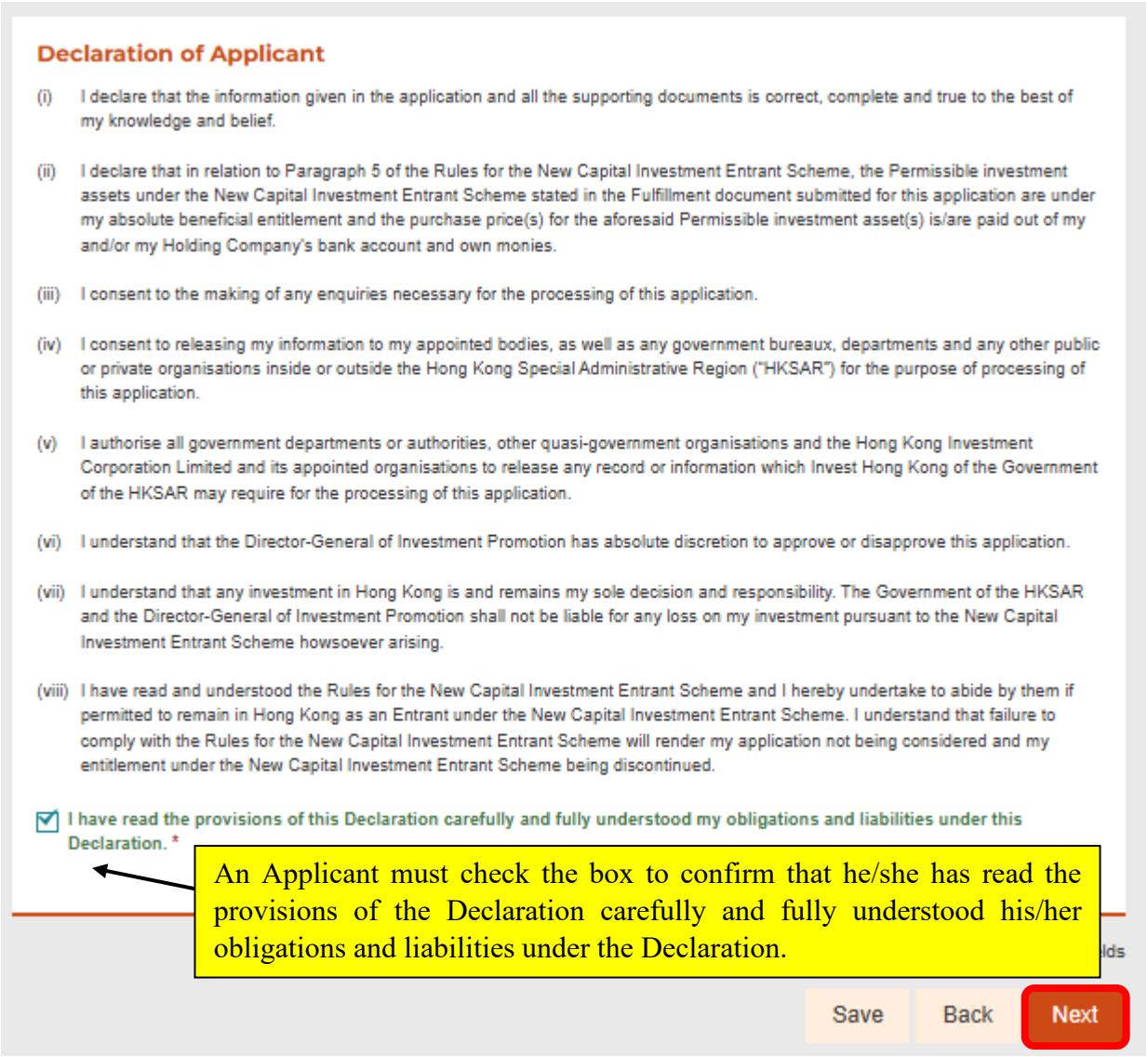
* Mandatory fields

Save | Back | Next

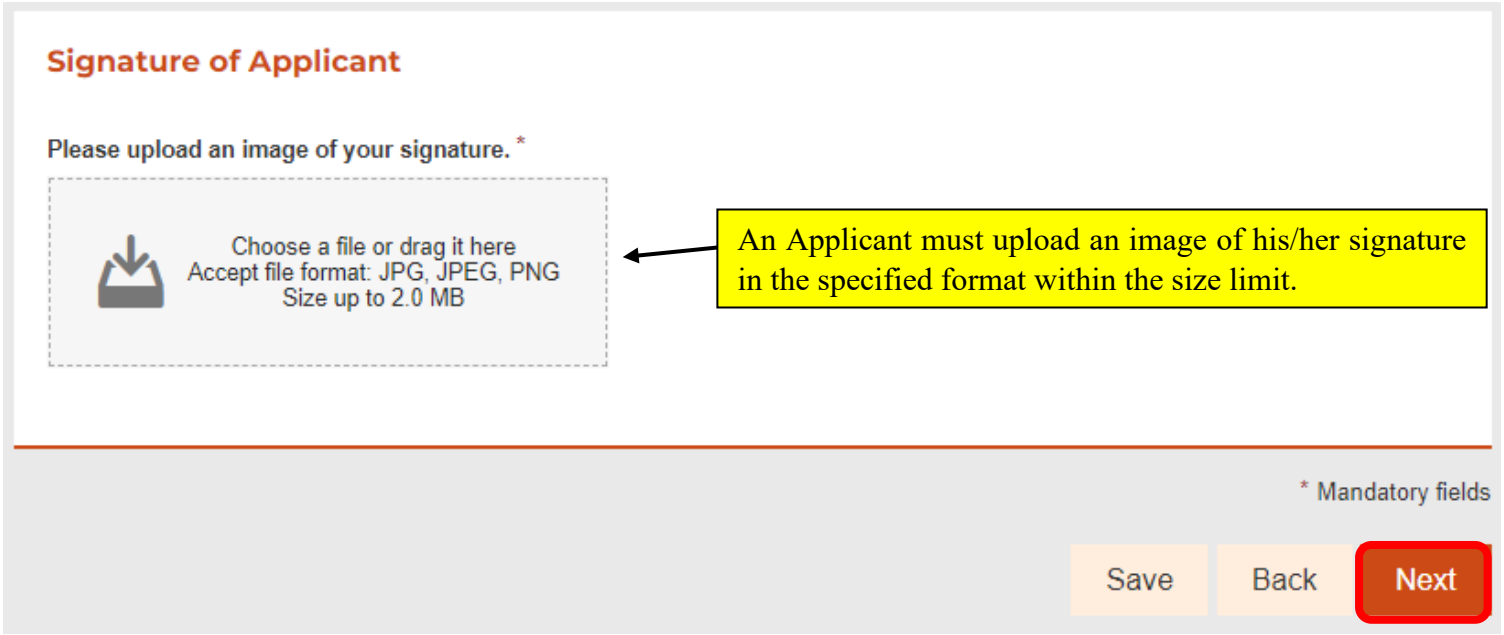
10) Permissible Investment Assets Statement and Supporting Documents



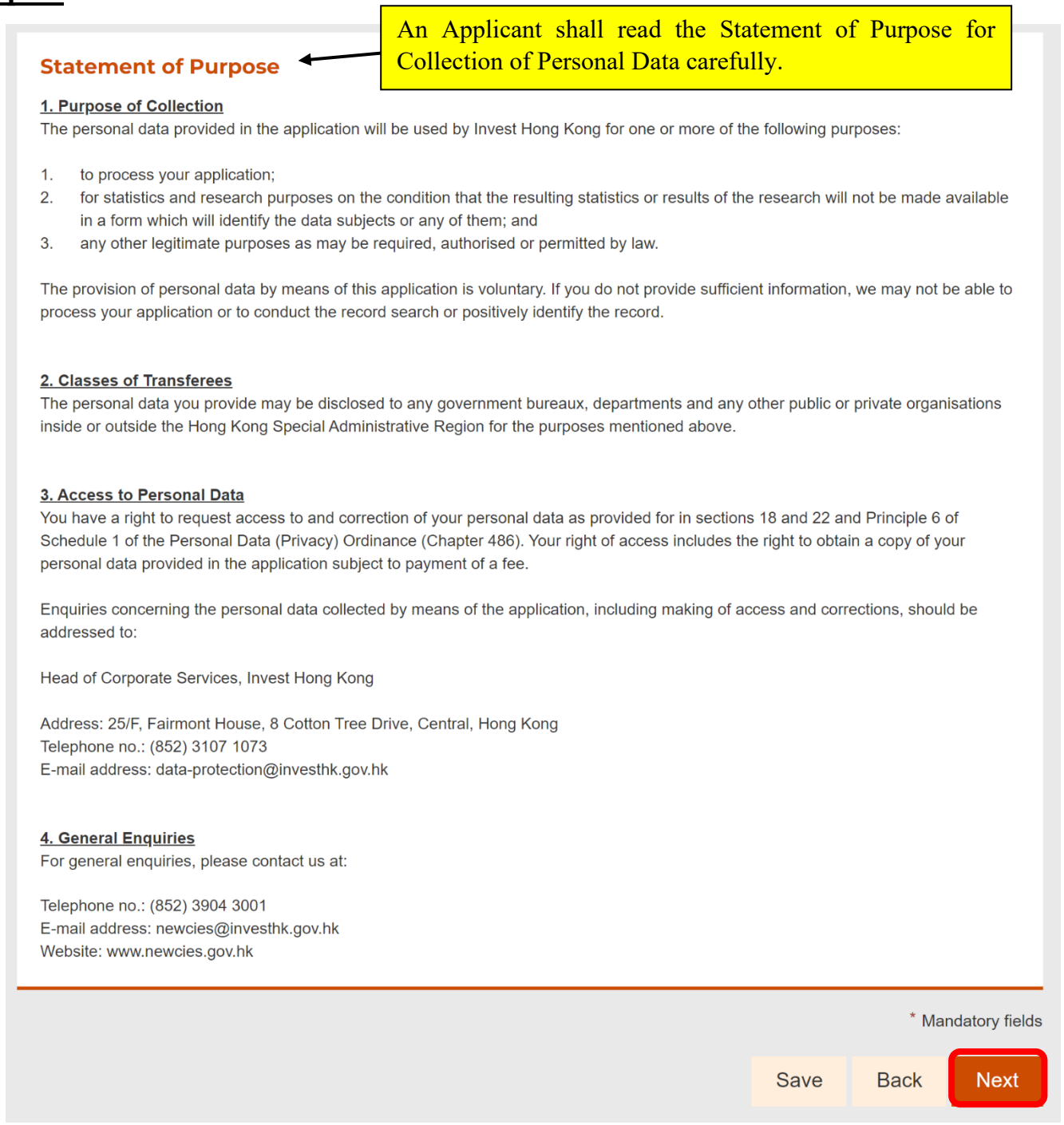
11) Declaration of Applicant



12) Signature of Applicant

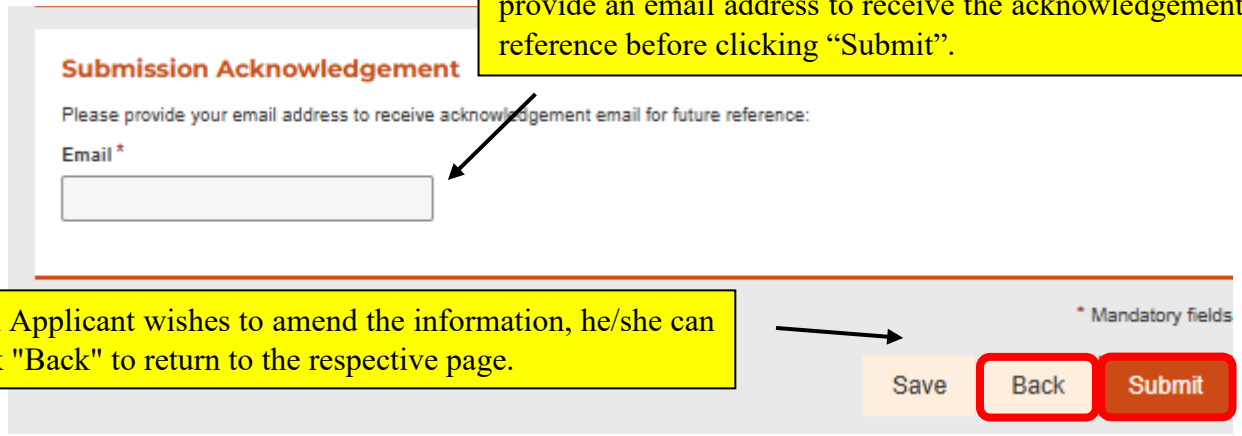


13) Statement of Purpose

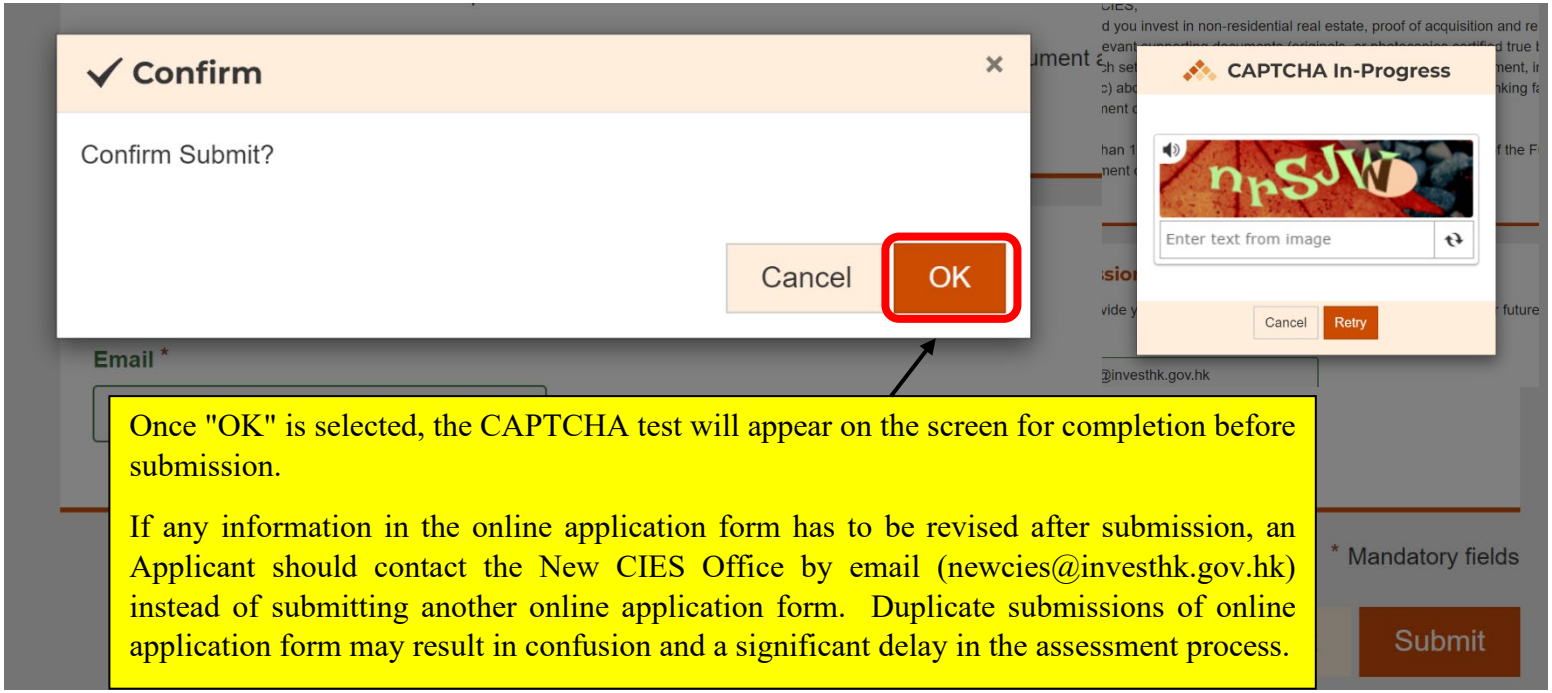


14) Review & Confirm

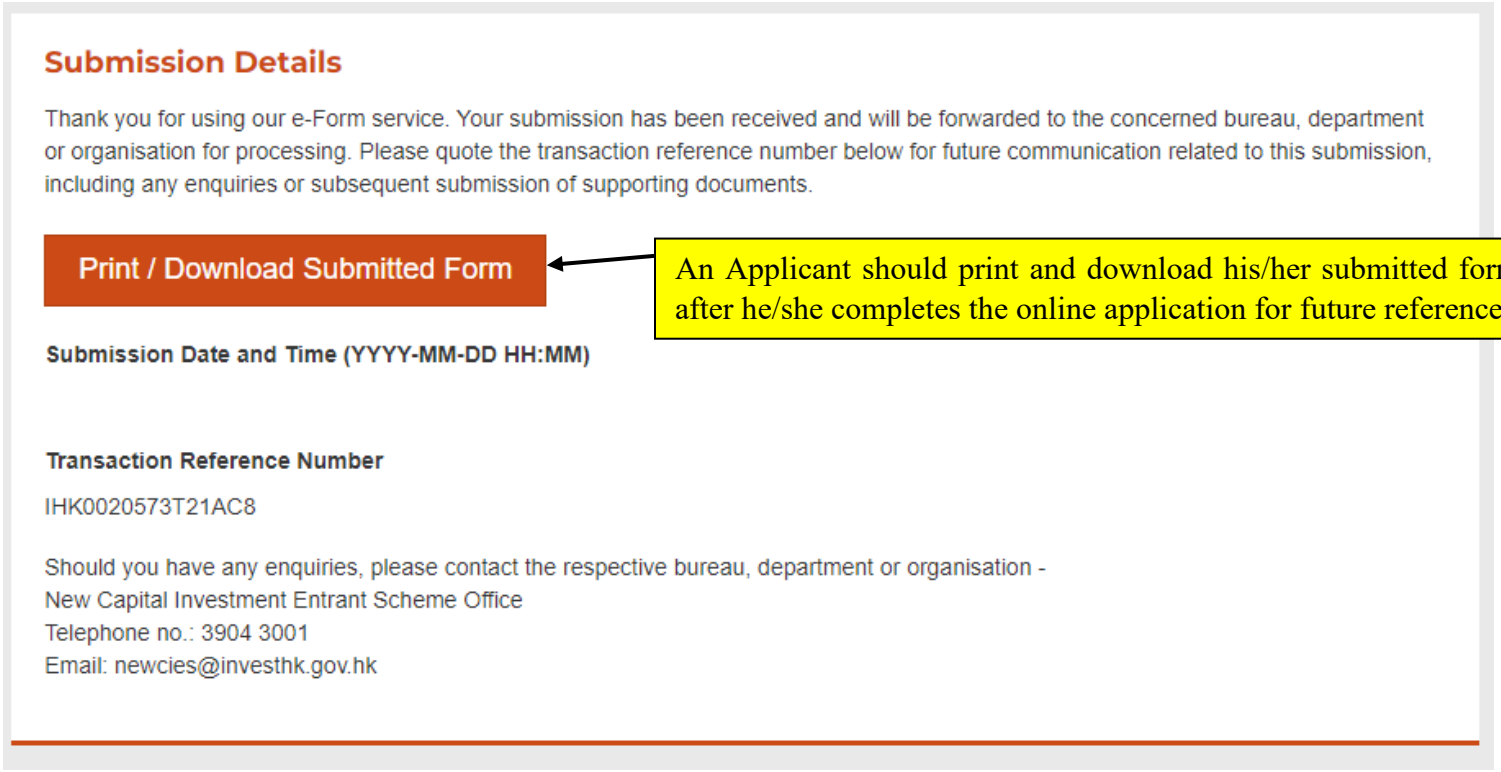
An Applicant should review his/her application summary carefully and provide an email address to receive the acknowledgement email for future reference before clicking “Submit”.



If an Applicant wishes to amend the information, he/she can click "Back" to return to the respective page.



Once "OK" is selected, the CAPTCHA test will appear on the screen for completion before submission.

If any information in the online application form has to be revised after submission, an Applicant should contact the New CIES Office by email (newcies@investhk.gov.hk) instead of submitting another online application form. Duplicate submissions of online application form may result in confusion and a significant delay in the assessment process.

15) Acknowledgement